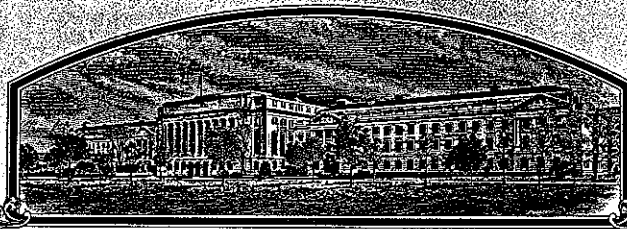


No.



9600061

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

**Pioneer Hi-Bred International, Inc.**

Whereas, THERE HAS BEEN PRESENTED TO THE

**Secretary of Agriculture**

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED, PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSES, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'9323'

*In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this thirtieth day of June in the year of our Lord one thousand nine hundred and ninety-eight.*

*Attest*

Acting Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
SCIENCE DIVISION - PLANT VARIETY PROTECTION OFFICE

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a).

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

## APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions and information collection burden statement on reverse)

1. NAME OF APPLICANT(S) (as it is to appear on the Certificate)		2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER	3. VARIETY NAME
Pioneer Hi-Bred International, Inc.			9323
4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country)		5. TELEPHONE (include area code)	FOR OFFICIAL USE ONLY PVPO NUMBER 9600061 DATE NOV 22, 1995 FILING AND EXAMINATION FEE \$2450.00 DATE NOV 22, 1995 CERTIFICATION FEE \$300.00 DATE 6/22/95
700 Capital Square 400 Locust St. Des Moines, IA 50309		515/270-3582	
6. FAX (include area code)			
515/253-2288			
7. GENUS AND SPECIES NAME	8. FAMILY NAME (Botanical)		
Glycine Max	Leguminosae		
9. CROP KIND NAME (Common name)			
Soybean			
10. IF THE APPLICANT NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.) (Common name)			
Corporation			
11. IF INCORPORATED, GIVE STATE OF INCORPORATION		12. DATE OF INCORPORATION	
Iowa		1926	
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS			
John Grace 7300 NW 62nd Ave. PO Box 1004 Johnston, IA 50131-1004		Mike Roth (copy) 700 Capital Square 400 Locust St. Des Moines, IA 50309	
		14. TELEPHONE (include area code)	
		515/270-3582	
		15. FAX (include area code)	
		515/253-2288	
16. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse)			
<input checked="" type="checkbox"/> Exhibit A. Origin and Breeding History of the Variety <input checked="" type="checkbox"/> Exhibit B. Statement of Distinctness <input checked="" type="checkbox"/> Exhibit C. Objective Description of the Variety <input checked="" type="checkbox"/> Exhibit D. Additional Description of the Variety <input checked="" type="checkbox"/> Exhibit E. Statement of the Basis of the Applicant's Ownership <input checked="" type="checkbox"/> Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties verification that tissue culture will be deposited and maintained in a public repository) <input checked="" type="checkbox"/> Filing and Examination Fee (\$2,450), made payable to "Treasurer of the United States" (Mail to PVPO)			
17. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY, AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act?)			
<input type="checkbox"/> YES (If "yes," answer items 18 and 19 below) <input checked="" type="checkbox"/> NO (If "no," go to item 20)			
18. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?		19. IF "YES" TO ITEM 18, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?	
<input type="checkbox"/> YES <input type="checkbox"/> NO		<input type="checkbox"/> FOUNDATION <input type="checkbox"/> REGISTERED <input type="checkbox"/> CERTIFIED	
20. HAS THE VARIETY OR A HYBRID PRODUCED FROM THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETED IN THE U.S. OR OTHER COUNTRIES?			
<input type="checkbox"/> YES (If "yes," give names of countries and dates) <input checked="" type="checkbox"/> NO			
21. The applicant(s) declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate.			
The undersigned applicant(s) is(are) the owner(s) of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act.			
Applicant(s) is(are) informed that false representation herein can jeopardize protection and result in penalties.			
SIGNATURE OF APPLICANT (Owner(s))		SIGNATURE OF APPLICANT (Owner(s))	
NAME (Please print or type)		NAME (Please print or type)	
D. John Grace III		Mike Roth	
CAPACITY OR TITLE		CAPACITY OR TITLE	
Soybean Research Coordinator			
DATE		DATE	
11/16/95			

## Exhibit A: Origin and Breeding History

## Breeding History of 9323 Soybean

- 20K.  
26 Nov. 1997*
- 1990 (Summer) The cross was made between <sup>M8559372</sup>MB59372 and F<sub>1</sub> of W20 X A3205 in Iowa. The stock number 90002 was assigned to identify the population created by this cross.
- 1990-91 (Winter) The F<sub>1</sub> and F<sub>2</sub> generations of cross 90002 was advanced by modified single seed descent at Salinas, Puerto Rico.
- 1991 (Summer) The F<sub>3</sub> generation of cross 90002 was grown at Johnston, Iowa where F<sub>3</sub>-derived F<sub>4</sub> plants were selected and threshed individually.
- 1991-92 (Winter) Selected plants were screened for race 7 of phytophthora root rot and also screened for tolerance to sulfonylurea herbicides. Plant number 9946 was selected and increase in Salinas, Puerto Rico in a short row. Plant number 9946 was designated '90002-113'.
- 1992 (Summer) 90002-113 was tested in the preliminary yield trial "JHD310ST" in Iowa. Based on superior yield performance, 90002-113 was advanced.
- 1992 (Winter) Purification was initiated from a bulk of seed sent to Chile where individual plants were selected and threshed individually.
- 1993 (Summer) 90002-113 was tested in the wide area trial "JHB302ST". Based on superior yield performance 90002-113 was advanced and designated 'XB32E'.
- Purification rows were grown at Johnston, Iowa. Offtype rows discarded (based on Phytophthora root rot resistance, sulfonylurea herbicide tolerance, and other agronomic traits) and selected sublines bulked to form the original breeder seed lot.
- 1993 (Winter) A 4.0 acre increase of the original breeder seed lot was increased in Chile.
- 1994 (Summer) 'XB32E' was grown in wide area tests across the midwest; experiments JHA3E000, NPA3E000, MLA3E000, LPA3E000, SJA3E000, and CFA3E000. Based on superior yield performance, resistance to sulfonylurea herbicides, and resistance to Phytophthora root rot, 'XB32E' was released as Pioneer 9323. Pioneer's Parent Seed Department assumes responsibility for line maintenance, growing 167 acres.

9323 has undergone 3 years of testing and purification and has been observed to be uniform and stable for all plant traits from generation to generation, with no evidence of variants.

## Exhibit B: Novelty Statement

~~To our knowledge~~, soybean variety '9323' is novel compared to other commercial cultivars in that it contains the Rps<sub>1</sub>k gene for multi-race resistance to Phytophthora root rot and also contains the Als1 gene for tolerance to higher application rates of sulfonylurea herbicides, such as Classic and Pinnacle. ~~To our knowledge, no other commercial soybean cultivar contains both genes.~~

as well as white flowers, tawny pubescence, and seed with black hila.

Luk,  
26 May 1998

OBJECTIVE DESCRIPTION OF VARIETY  
SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) Pioneer Hi-Bred International, Inc.	TEMPORARY DESIGNATION	VARIETY NAME 9323
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 700 Capital Square 400 Locust Street Des Moines, IA 50309		FOR OFFICIAL USE ONLY PVPO NUMBER 9600061

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,   ). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)  
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)  
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) \_\_\_\_\_

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) \_\_\_\_\_

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1<sup>a</sup>)

2 = Type B (SP1<sup>b</sup>)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) \_\_\_\_\_

## 11. LEAFLET SIZE:

☐ 2 1 = Small ('Amsoy 71'; 'A5312')  
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

9600061

## 12. LEAF COLOR:

☐ 3 1 = Light Green ('Weber'; 'York')  
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

## ★ 13. FLOWER COLOR:

☐ 1 1 = White 2 = Purple 3 = White with purple throat

## ★ 14. POD COLOR:

☐ 1 1 = Tan 2 = Brown 3 = Black

## ★ 15. PLANT PUBESCENCE COLOR:

☐ 2 1 = Gray 2 = Brown (Tawny)

## 16. PLANT TYPES:

☐ 3 1 = Slender ('Essex'; 'Amsoy 71') 2 = Intermediate ('Amcor'; 'Braxton')  
3 = Bushy ('Gnome'; 'Govan')

## ★ 17. PLANT HABIT:

☐ 3 1 = Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Will')  
3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

## ★ 18. MATURITY GROUP:

☐ 0 ☐ 6 1 = 000 2 = 00 3 = 0 4 = I 5 = II 6 = III 7 = IV 8 = V  
9 = VI 10 = VII 11 = VIII 12 = IX 13 = X

## ★ 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

## BACTERIAL DISEASES:

★ ☐ 0 Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★ ☐ 1 Bacterial Blight (*Pseudomonas glycinea*)

★ ☐ 0 Wildfire (*Pseudomonas tabaci*)

## FUNGAL DISEASES:

★ ☐ 1 Brown Spot (*Septoria glycines*)

Frogeye Leaf Spot (*Cercospora sojae*)

★ ☐ 0 Race 1 ☐ 0 Race 2 ☐ 0 Race 3 ☐ 0 Race 4 ☐ 0 Race 5 ☐ Other (Specify) 9600061

☐ 0 Target Spot (*Corynespora cassiicola*)

☐ 0 Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)

☐ 0 Powdery Mildew (*Microsphaera diffusa*)

★ ☐ 2 Brown Stem Rot (*Cephalosporium gregatum*)

☐ 0 Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

## 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

## FUNGAL DISEASES: (Continued)

- ★ ☐ 1 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)
- ☐ 0 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 1 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 2 Race 1 ☐ 2 Race 2 ☐ 2 Race 3 ☐ 2 Race 4 ☐ 2 Race 5 ☐ 0 Race 6 ☐ 2 Race 7
- ☐ 2 Race 8 ☐ 2 Race 9 ☐ Other (Specify) \_\_\_\_\_

## VIRAL DISEASES:

- ☐ 1 Bud Blight (Tobacco Ringspot Virus)
- ☐ 1 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 1 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 1 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 1 Seed Mottle (Soybean Mosaic Virus)

## NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 0 Race 1 ☐ 0 Race 2 ☐ 1 Race 3 ☐ 0 Race 4 ☐ Other (Specify) \_\_\_\_\_
- ☐ 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★ ☐ 0 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 0 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ OTHER DISEASE NOT ON FORM (Specify): \_\_\_\_\_

## 20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 1 Iron Chlorosis on Calcareous Soil
- ☐ 2 Other (Specify) Tolerant to Sulfonylurea Herbicides (STS)

## 21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ Other (Specify) \_\_\_\_\_

## 22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	9342	Seed Coat Luster	9342
Leaf Shape	9343	Seed Size	9343
Leaf Color	9313	Seed Shape	9231
Leaf Size	9343	Seedling Pigmentation	9342

## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
9323 Submitted	125.7	1.8	91			42.6	20.9	14	3
9342 Name of Similar Variety	128.7	1.8	89			41.9	20.6	14	3

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci. 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

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## Exhibit D: Additional Description of Variety

In Exhibit C we have identified 9323 as susceptible to bacterial blight, brown spot, pod and stem blight, rhizoctonia root rot, bud blight, yellow mosaic, cowpea mosaic, pod mottle and seed mottle. This does not mean that we consider 9323 to be worse than other varieties of similar maturity in reaction to these challenges. Rather, we have chosen to be conservative and have identified 9323 as "susceptible".

Variety 9323 is an early group III variety. If group III maturities are divided into tenths, the relative maturity of 9323 is 3.2..

Isozyme information for 9323:

ACO2	ACO3	ACO4	ACP	DIA	ENP	IDH1	IDH2	MDH	PGM1	PHI1
1	1	3	A	A	A	2	1	B	1	1

## Exhibit E: Statement of the Basis of Applicants Ownership

Variety 9323 was originated and developed by plant breeders (U.S. nationals) from whom, by agreement, Pioneer Hi-Bred Int'l, Inc. has obtained exclusive rights to 9323. No rights to such invention, discovery or development are retained by the plant breeder or any other party.